THE L	LU	TRA	ANSMITTAL LE	TTER				Case N		59/791
Serial N	No. 09/900,74	Filing	Date July 6, 2	Examine	er	Kiretor	Jolley	Group		1762
Invento	r(s)			001		Kilotei	i Joney		ارم ا	
P E Nitle of	Brian Jai Invention	mes Gingr	as et al.				<del></del>		MAY 9	-Cr.
3	METHOD FOR \	WETTING	AND WINDING	A SUBSTRAT	<u>E</u>				MAY	25/
.7 2003 🕌			TO THE COM	MISSIONER	FOR	PATENT	S	(	PROLLS	7005
ansm	nitted herewith is	s: <u>Transm</u>	nittal Letter (in	duplicate); Ch	eck	for \$180.	00 for fi	illig ice	<u> Innia Supp</u>	emental
Miforma Miforma	tion Disclosure S									
<b>"</b> L	previously sub		nis application u	nder 37 CFR	<b>9</b> 1.	z/ nas p	een esta	iblished	by verified s	tatement
	A verified state	ment to e	stablish small en	tity status unde	ег 37	CFR §§	1.9 and 1	.27 is er	nclosed.	
	Petition for a _	mon	th extension of ti	me.	•					
	No additional fo	ee is requi	red.							
	The fee has be	en calcula	ated as shown be	elow:					0.11	<b>-</b> .
						Smal	l Entity		Small	Than Entity
	Claims		Highest No.					or		
	Remaining After		Previously Paid For	Present Extra		Rate	Add'l Fee		Rate	Add'I Fee
	Amendment		<u> </u>		-			_		
<u> </u>	-	Minus			+	x \$9=		_	x \$18=	
Indep.		Minus			$\  \cdot \ $	x 42=			x \$84=	
First Pr	esentation of Mu	iltiple Dep.	Claim			+\$140 =			+ \$280=	
·	*			•	- '	Total	\$		total	\$
						add'l fee			add'i fee	
			scount No. 23-1 sheet is enclosed		HOF	ER GILS	ON & LIC	)NE) in t	ne amount of	\$
Ō	A check in the	amount of	\$ <u>180.00</u> to cove	er the filing fee	is er	nclosed.				
⊡			ereby authorized patent application							
			any overpaymer							
⊡			37 CFR § 1.136							
			ge any associate py of this sheet is		iave	not other	wise bee	n paid to	Dehosii Acc	ount No.
				Respectful	lly su	ıbmitted,				
					,	1				
				$\sim$						
				Din	$\leq$	3/C				
				Jonathan F Registratio			),		-	
<b>RDINIV</b>	S HOFER OU SO	SN & L I∩N	ı <b>F</b>	Jonathan F Registration Agent for A	n No	5. 48,338	).			
P.O. B0	S HOFER GILSC DX 10395			Registratio	n No	5. 48,338	).			
P.O. BO CHICA		0610 - (312	2) 321-4200	Registration Agent for A	n No Appli	o. 48,338 cants				

#### CERTIFICATE OF MAILING

with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 21, 2003

Date of Deposit

Jonathan P. Taylor, Ph.D., Reg. No. 48,338

Name of Applicant, Assignee or Registered Representative

Signature

5 71/ 3

Date of Signature

GROU GROU

Our Case No.: 659/791

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Kirsten Jolley

Group Art Unit No.: 1762

In re Application of:

Brian James Gingras et al.

Serial No.: 09/900,746

Filing Date: July 6, 2001

For:

METHOD FOR WETTING AND

WINDING A SUBSTRATE

# THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 2231301450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Third Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

# The references now cited are the following:

## **U.S. PATENTS**

	nces now cite	ed are the following:	GAOUP 1700
DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	] '00
4,533,435	08/06/1985	Intili	
4,865,221	09/12/1989	Jackson	
5,049,440	09/17/1991	Bornhoeft, III et al.	
5,089,296	02/18/1992	Bafford et al.	
5,449,328	09/12/1995	Schmidt et al.	
5,540,332	07/30/1996	Kopacz et al.	
5,648,083	07/15/1997	Blieszner et al.	
6,056,229	05/02/2000	Blume et al.	

## **FOREIGN PATENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
EP 0 580 561 A2	01/26/1994	EPO
JP 10174670	06/30/1998	Japan

### OTHER ART REFERENCES

Search Report for Patent Cooperation Treaty application No. PCT/US02/21030. Date of Mailing 02/21/2003, 6 pages.

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Jonathan P. Taylor, Ph.D. Registration No. 48,338 Agent for Applicants

**BRINKS HOFER GILSON & LIONE** P.O. Box 10395 Chicago, IL 60610 Tel. (312) 321-4200 Fax (312) 321-4299

FORM PTO-1440	SERIAL NO.	CASE NO.
TOPENTA	09/900,746	659/791
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	July 6, 2001	1762
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Brian James G	ingras et al.

DEED	DENC	E DE	SIGN	ATION

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	4,533,435	08/06/1985	Intili		
	D2	4,865,221	09/12/1989	Jackson		
	D3	5,049,440	09/17/1991	Bornhoeft, III et al.		Pr-
	D4	5,089,296	02/18/1992	Bafford et al.	M	AL CE
	D5	5,449,328	09/12/1995	Schmidt et al.		17 3 ~ ~ 11
	D6	5,540,332	07/30/1996	Kopacz et al.	480	2/100
	D7	5,648,083	07/15/1997	Blieszner et al.	<b>→</b>	Up The
	D8	6,056,229	05/02/2000	Blume et al.		120
						- 00

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	D9	EP 0 580 561 A2	01/26/1994	EPO		
	D10	JP 10174670	06/30/1998	Japan		Abstract

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					
	D11	Search Report for Patent Cooperation Treaty application No. PCT/US02/21030, Date of Mailing 02/21/2003, 6 pages.				
EXAMINER	:	DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99